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NOTICE OF ALLOWANCE AND FEE(S) DUE

50488 7590 08/21/2009
ALLEMAN HALL MCCOY RUSSELL & TUTTLE LLP

ALLEMAN HALL MCCOY RUSSELL & TUTTLE LL 806 SW BROADWAY SUITE 600 PORTI AND OR 97205-3335 EXAMINER
ANDERSON, FOLASHADE
ART UNIT PAPER NUMBER
3623
DATE MAILED 08/21/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/667,213	09/19/2003	Herb Sorensen	SNS307	7032

TITLE OF INVENTION: SHOPPING ENVIRONMENT ANALYSIS SYSTEM AND METHOD WITH NORMALIZATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	11/23/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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10/667,213	09/19/2003		Herb Sorensen	`	AIIO	SNS307	7032
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50488 75	90 08/21/2009		EXAM	IINER
ALLEMAN HAI	LL MCCOY RUSSE	LL & TUTTLE LLP	ANDERSON,	FOLASHADE
806 SW BROADV	VAY		ART UNIT	PAPER NUMBER
SUITE 600	07205 2225		3623	
PORTLAND, OR	9/205-3335		DATE MAIL ED. 00/21/200	10

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1103 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1103 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Application No. Applicant(s) 10/667,213 SORENSEN, HERB Notice of Allowability Examiner Art Unit FOLASHADE ANDERSON 3623

- The MAILING DATE of this communication appears on All claims being allowable, PROSECUTION ON THE MERTS IS (OR RE herewith (or previously mailed), a Notice of Allowance (PTOL-95) or othe NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHT. of the Office or upon petition by the applicant. See 37 CFR 1.313 and MI	MAINS) CLOSED in this application. If not included r appropriate communication will be mailed in due course. THIS This application is subject to withdrawal from issue at the initiative
1. This communication is responsive to Applicant's amendments filed	05/04/2009.
2. The allowed claim(s) is/are 1,3-5,9,12-26 and 28-50.	
	eceived.
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this on noted below. Failure to timely comply will result in ABANDONMENT of THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	
4. A SUBSTITUTE OATH OR DECLARATION must be submitted. No INFORMAL PATENT APPLICATION (PTO-152) which gives reason	
CORRECTED DRAWINGS (as "replacement sheets") must be sui (a) including changes required by the Notice of Draftsperson's Pa 1) hereto or 2) to Paper No./Mail Date	tent Drawing Review (PTO-948) attached Iment / Comment or in the Office action of hould be written on the drawings in the front (not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FOR THE	IOLOGICAL MATERIAL must be submitted. Note the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. Notice of Informal Patent Application
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary (PTO-413),
3. Information Disclosure Statements (PTO/SB/08),	Paper No./Mail Date 7. 🛛 Examiner's Amendment/Comment
Paper No./Mail Date 4.	8. Examiner's Statement of Reasons for Allowance 9. Other Other
/Folashade Anderson/	/Beth V. Boswell/
Examiner, Art Unit 3623	Supervisory Patent Examiner, Art Unit 3623

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DETAILED ACTION

Currently, claims 1, 3-5, 9, 12-26, 28-40, and 41-50 are pending. Claims 2, 6-8, 10, 11, and 27 are canceled. Claims 1, 3, 12, 13, 20, 22, 25, 28, 30-35, 37, and 41 are amended

Response to Amendment

The 35 USC 101 rejection made in the previous office action with respect to claims 1-5 and 6-40 is now withdrawn in light of the amendments.

FXAMINER'S AMENDMENT

- 3. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.
- 4. Authorization for this examiner's amendment was given in a telephone interview with Mark Alleman, registration number 42,257, on 07/20/2009. It is further noted that additional amendments were agreed to via a series of conversation that took place at various times from 07/22/2009 until 07/23/2009 with Jason Creasman, registration number 51,587. The application has been amended as follows:

In the claims

 (Currently amended) A <u>computer-implemented</u> method for analyzing a shopping environment, the method comprising the steps of analyzing shopper data Application/Control Number: 10/667,213

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from a plurality of different shopping environments having different sizes and shapes by:

tracking a plurality of paths of a plurality of persons in <u>each of</u> the <u>plurality of shopping environments</u>, <u>via a shopper tracking module executed on a computing device and an associated tracking system installed in each shopping environment</u>;

recording corresponding path data indicating the plurality of paths from the different shopping environments, in a database associated with the computing device, wherein the path data for each path includes position data representing a series of tracked positions of a person in the shopping environment, and associated time data representing a corresponding series of times at which the person was tracked in each position;

normalizing the path data for each path by use of a predetermined normalization function includingto convert[[ing]] path position data from the different shopping environments into a common physical frame of reference, [[te-]]thereby produc[[e]]ing normalized position data for the paths from the different shopping environments, by a normalization module executed on the computing device, wherein normalizing further includes determining a standardized shopping environment including sectors and/or standardized shopping environment dimensions, and converting the path data from each of the plurality of shopping environments to the standardized shopping environment by scaling the path position data to the standardized shopping environment dimensions and/or sectors;

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calculating a predetermined statistical measure of a predetermined shopper behavior or non-shopper behavior from [[of]] the normalized path data, by a statistical calculation module executed on the computing device, wherein calculating includes examining normalized path data of one or more paths from each of the shopping environments to determine the predetermined statistical measure; and

producing <u>an_output</u> <u>based_upon_indicating</u> the predetermined statistical measure <u>of the shopper behavior or the non-shopper behavior calculated from the normalized path data from the plurality of shopping environments, by the <u>computing device</u>.</u>

2. (Cancelled)

- (Currently amended) The method of claim [[2]]1, wherein the step of normalizing includes time adjusting the time data for each path to a common time reference.
- (Original) The method of claim 3, wherein the step of time adjusting further includes the step of time shifting the time data for each path to a common starting time.
- (Original) The method of claim 3, wherein the step of time adjusting further includes the step of time scaling the time data for each path to a common duration.

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6. (Cancelled)

(Cancelled)

(Cancelled)

9. (Previously presented) The method of claim 1, wherein each of the plurality of shopping environments has a longitudinal dimension and a length, a lateral dimension and a width, and the position data for each path includes a plurality of longitudinal and lateral coordinate values, and the step of converting the position data to

a standardized frame of reference further includes dividing each longitudinal coordinate

value by the length for the corresponding shopping environment, and dividing each

lateral coordinate value by the width for the corresponding shopping environment.

10. (Cancelled)

11. (Cancelled)

12. (Currently amended) The method of claim [[2]]1, further comprising

establishing a calibration for the time data and a calibration for the position data.

13. (Currently amended) The method of claim [[2]]1, wherein the predetermined normalization function includes determining a proportion of the path completed.

- (Original) The method of claim 13, wherein the proportion is calculated with respect to a distance traveled by the person along the path.
- (Original) The method of claim 13, wherein the proportion is calculated with respect to a time elapsed while the person traveled the path.
- (Original) The method of claim 13, wherein the proportion is calculated with respect to cumulative purchases made while the person traveled the path.
- 17. (Original) The method of claim 1, wherein the step of calculating includes calculating a master path based on a plurality of the paths tracked in the shopping environment.
- 18. (Original) The method of claim 17, wherein the shopping environment has a longitudinal dimension, and each path has a plurality of longitudinal coordinate values, and wherein the calculation of the master path includes averaging longitudinal coordinate values of corresponding points of each path to obtain corresponding average longitudinal coordinate values.

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19. (Original) The method of claim 17, wherein the shopping environment has

a lateral dimension, and each path has a plurality of lateral coordinate values, and

wherein the calculation of the master path includes averaging lateral coordinate values

of corresponding points of each shopping path to obtain corresponding average lateral

coordinate values.

20. (Currently amended) The method of claim [[2]]1, wherein the step of

calculating includes calculating density of a plurality of persons tracked throughout at

least a portion of one or more shopping environments.

21. (Original) The method of claim 20, wherein the density is based on

normalized data received from a plurality of shopping environments.

22. (Currently amended) The method of claim [[2]]1, wherein the step of

calculating includes calculating flow of a plurality of persons traveling throughout at least

a portion of one or more shopping environments.

23. (Original) The method of claim 22, wherein the flow is based on

normalized data received from a plurality of shopping environments.

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24. (Original) The method of claim 22, wherein the shopping environment has

a longitudinal dimension and a lateral dimension, and each shopping path has a

plurality of longitudinal coordinate values and a plurality of lateral coordinate values, and

wherein the step of calculating further includes, for each shopping path, associating with

each selected time a velocity.

25. (Currently amended) The method of claim [[2]]1, wherein the step of

calculating includes calculating shopping intensity of a plurality of shoppers traveling

throughout at least a portion of a shopping environment.

26. (Previously presented) The method of claim 25, wherein the shopping

intensity is based on normalized product purchase data received from a plurality of

shopping environments.

27. (Cancelled)

28. (Currently amended) The method of claim [[27]]1, wherein the shopping

environment is partitioned into five sectors.

29. (Original) The method of claim 28, wherein the shopping environment has

four sides, four corners, and a center, four of the sectors are substantially trapezoidal in

shape having two sloping sides and a longer and a shorter of two substantially parallel

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sides, and the remaining sector is substantially rectangular in shape and having four sides and a center, the sloping sides of the four substantially trapezoidal sectors coinciding with segments of diagonal lines from opposite comers of the shopping environment, the longer of the two substantially parallel sides of each substantially trapezoidal sector coinciding with a side of the shopping environment, and the shorter of the two substantially parallel sides of each substantially trapezoidal sector forming a side of the remaining, substantially rectangular, sector, with the center of the substantially rectangular sector coincident with the center of the shopping environment.

- 30. (Currently amended) The method of claim [[27]]1, wherein the shopper behavior is visiting a predetermined region of the shopping environment corresponding to the sector of the standardized shopping environment.
- 31. (Currently amended) The method of claim [[27]]1, wherein the shopper behavior is slowing below a predetermined threshold speed in a predetermined region of the shopping environment corresponding to the sector of the standardized shopping environment.
- 32. (Currently amended) The method of claim [[27]]1, wherein the shopper behavior is purchasing a product from a predetermined region of the shopping environment corresponding to the sector of the standardized shopping environment.

33. (Currently amended) The method of claim [[27]]1, wherein the non-shopper behavior is visiting a predetermined region of the shopping environment corresponding to the sector of the standardized shopping environment.

- 34. (Currently amended) The method of claim [[27]]1, wherein the step of calculating a predetermined statistical measure further includes determining a best fit ellipse to encompass a predetermined percentage of the shopper behavior or non-shopper behavior.
- 35. (Currently amended) The method of claim [[27]]1, wherein the predetermined statistical measure is percentage of trip completion.
- (Original) The method of claim 35, wherein the output includes a contour plot of percentage of trip completion for a plurality of paths.
- 37. (Currently amended) The method of claim [[2]]1, wherein the step of calculating further includes the steps of:

calculating a length of the shopping path;

smoothing the shopping path to obtain a smoothed path;

calculating a length of the smoothed path; and

comparing the length of the smoothed path with the length of the shopping path.

 (Original) The method of claim 1, wherein the plurality of persons include a plurality of shoppers.

- (Original) The method of claim 1, wherein the plurality of persons includes a plurality of non-shoppers.
- 40. (Original) The method of claim 1, wherein the plurality of persons includes shoppers and non-shoppers, and the step of calculating a statistical measure includes calculating a statistical measure based on shopper path data and non-shopper path data, the method further comprising, comparing the calculated statistical measures of the shoppers and non-shoppers.
- (Currently amended) A system for use in analyzing a shopping environment, the system comprising:

a computing device <u>including a processor and memory holding instructions</u>

<u>executable by the processor, when executed by the processor, the instructions</u>

<u>causing the computing device</u> eenfigured to receive path data corresponding to a plurality of persons tracked in one or more <u>a plurality of</u> shopping environments <u>of</u>

<u>different shapes and sizes</u>, the path data including position data and time data,

[[and]] the instructions including execute an analysis program having a normalization module and a statistical calculation module;

wherein the normalization module is configured to convert the path data to a common time frame of reference and a common physical frame of reference, to thereby produce normalized path data <u>based on the path data from the plurality of shopping</u> environments of different shapes and sizes: and

wherein the statistical calculation module is configured to calculate a predetermined statistical measure based on the normalized path data to be output by the computing device.

- (Original) The system of claim 41, further comprising a shopper tracking module configured to receive shopper path data from a tracking system.
- 43. (Original) The system of claim 42, wherein the tracking system includes sensors configured to track shopper tags throughout each of the shopping environments, to thereby produce the shopper path data.
- (Original) The system of claim 41, further comprising a non-shopper tracking module configured to receive non-shopper path data from a tracking system.
- 45. (Original) The system of claim 44, wherein the tracking system includes sensors configured to track non-shopper tags throughout each of the shopping environments, to thereby produce the non-shopper path data.

 (Original) The system of claim 41, further comprising a product tracking module configured to receive product path data from a tracking system.

47. (Original) The system of claim 46, wherein the tracking system includes

sensors configured to track product tags throughout each of the shopping environments,

to thereby produce the product path data.

48. (Previously presented) The system of claim 41, further comprising an

environment tracking module configured to receive movable fixture path data from a

tracking system.

49. (Original) The system of claim 48, wherein the tracking system includes

sensors configured to track environment tags throughout each of the shopping

environments, to thereby produce the movable fixture path data.

50. (Original) The system of claim 41, wherein the predetermined statistical measure

is selected from the group consisting of average shopper depth, average shopper right-

left position, average shopper path, average shopper density, average shopper velocity,

shopping intensity, percent of trip completed, average non-shopper depth, average non-

shopper right-left position, average non-shopper path, average non-shopper density,

average non-shopper velocity.

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Reasons for Allowance

5. Currently, claims 1, 3-5, 9, 12-26, 28-40, and 41-50 are allowed.

6. The following is an examiner's statement of reasons for allowance:

With respect to independent claim 1, which recites the novel limitations of "normalizing the path data for each path by use of a predetermined normalization function to convert path position data from the different shopping environments into a common physical frame of reference, thereby producing normalized position data for the paths from the different shopping environments, by a normalization module executed on the computing device, wherein normalizing further includes determining a standardized shopping environment including sectors and/or standardized shopping environment dimensions, and converting the path data from each of the plurality of shopping environments to the standardized shopping environment by scaling the path position data to the standardized shopping environment dimensions and/or sectors; calculating a predetermined statistical measure of a predetermined shopper behavior or non-shopper behavior from the normalized path data, by a statistical calculation module executed on the computing device, wherein calculating includes examining normalized path data of one or more paths from each of the shopping environments to determine the predetermined statistical measure; and producing an output indicating the predetermined statistical measure of the shopper behavior or the non-shopper behavior calculated from the normalized path data from the plurality of shopping environments, by the computing device."

With respect to independent claim 41 which recites the novel limitations of instructions including an analysis program having a normalization module and a statistical calculation module; wherein the normalization module is configured to convert the path data to a common time frame of reference and a common physical frame of reference, to thereby produce normalized path data based on the path data from the plurality of shopping environments of different shapes and sizes.

The prior art of record most closely resembling Applicant's claimed invention are Farley et al (A Stochastic Model of Supermarket Traffic Flow, published 1966) and Heller (Tracking Shoppers through the Combination Store, published 1988). Farley teaches a method for modeling supermarket traffic flow to determine traffic patterns in a given store as well as in a hypothetical store layout. The portions of Farley that relate to the instant claim language are: "[t]he variable is then normalized by the sum of forces of feasible transitions so that it represents the proportion of the total attraction exerted on an area through each area adjacent to it," p. 559, where the attraction is described as "to reach the area he want to go to from the one in which he happens to find him [he must trace a path]," p.557. 'Thus two type of aggregated measures . . . set up the particular patterns they follow: (1) what influence does a given area have on any other area in the store, and (2) how is this influence channeled into a direct effect on movement between adjacent areas," p. 558. Farley further teaches "[algoregating over sequences produced the matrix of dependent variables - the conditional probabilities of going to area i given shoppers are in area i," p.562. And finally Farley teaches "the Boston transactions observed on a variety of dissimilar layouts produce predictive Application/Control Number: 10/667,213

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structures very similar to those derived on actual data for the Pittsburg stores in directional evidence that the impulse component of transactions has a second order effect on transition probabilities," p. 566.

Heller teaches a method of tracking shoppers through various store layout to determine the best store lay-out. The relevant portions of Heller teach "penetration is the measure of percentage of total customers who pass any particular department or place in the store," p. 49. Thus Heller has previously determined the department penetration, predetermined measure of shopper's behavior as shown in his penetration chart, seen below, p.49.

Squaterest averages:	Store 1	Store 2	Store 3	Store 4	Foor-men averag
AS periohables					
aidea average:	72.5%	63.3%	62,8%	69.3%	68.2%
Podsee	89	70	73	88	79.5
Desi	90	38	689	44	60.3
Chary	76	70	61	77	71.0
Relatery	73	60	47	72	63.0
Mest	75	89	79	74	79.3
Prospens/ice cream	62	53	48	63	56.5
All grocery	Name and Address of the Owner, where the Owner, which is the	***************************************	***************************************	************	Manual control
titles average:	41 8	47,3	29.3	36.2	38.6
All son-foods		()			
Altits average:	16.0	22.6	23.2	10.1	18.0
Other departments:			····		
Acti	52	201	river.	38	55.0
Germenics	39	20	13	26	24.5
Phartmany	5	36	3	23	17.3
Videotope rental	7	3	15	2.8	13.3
See average:	39.5	39.6	33.6	29.7	35.6

Farley and Heller fail to teach normalization of shopper paths from differently shaped stores to a common physical frame of reference, thereby producing normalized position data for the paths from the different shopping environments. Nor do they expressly or implicitly teach converting the path data from each of the plurality of shopping

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environments to the standardized shopping environment by scaling the path position data to the standardized shopping environment dimensions and/or sectors.

7. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to FOLASHADE ANDERSON whose telephone number is (571)270-3331. The examiner can normally be reached on Monday through Thursday 8:00 am to 5:00 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Beth Boswell can be reached on (571) 272-6737. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/Folashade Anderson/ Examiner, Art Unit 3623

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